

Universidade de Brasília – Institute of Political Science/IPOL
Graduate Program in Political Science

Course Title: Public Policies and State-society Relations in Brazil and China

Period: First semester of 2023 (March - June 2023)

Total hours: 48 (4-credit course)

Time of class: Wednesday, 14:00-17:50

Language of Instruction: English

Office hour: to be scheduled by email

Professor: Dra. Yan Zhou zhouyanthu@gmail.com

(Collaborative Researcher of IPOL; Assistant Professor at Tsinghua University, China)

Preliminary Syllabus (subject to modification)

Overview

This course is designed for graduate students who are interested in knowing more about the differences in politics of Brazil and China. While the two countries have different development models, they share lots of common problems in governance. In targeting these problems through public policies, the state needs not only to coordinate within its horizontal and vertical levels, but also to dialogue with different social actors. This course examines the relationship and interaction between the state and the society in Brazil and China through different public policies.

After an introductory module that presents the academic discussions on state-society relations in Brazil and China, the following module explores the theme from five main policy areas, that is, industry and infrastructure, environment and energy, health and social protection, poverty and inequality, education and innovation.

The course will be conducted with a combination of brief lectures and class discussions. Active participation is expected from everyone. One day before each class, all the students will be required to submit three written propositions based on the readings, and they will elaborate these propositions and raise questions during class discussions.

The lectures will be conducted in English. Students are encouraged to participate in class discussions in English, while Portuguese could also be used.

Objective

- 1) General understanding on the differences of political systems in Brazil and China;
- 2) Comparative understanding on the state-society relations in these two countries;
- 3) Knowledge on the evolution of the state-society relations in the two countries through particular public policies.

Course Requirements

Students are required to submit three written propositions based on the readings one day before each class, participate in class discussions, and write an academic paper related to the course. The evaluation details are as follows:

- 1) By each Monday midnight: Submit three written propositions through email; Maximum 100 words in English for each proposition; Counting for 40% of the final grade.
- 2) May 9: Submit research proposal on the academic paper related to the course; Maximum 800 words in English; Counting for 20% of the final grade.
- 3) June 12: Submit the final academic paper; Maximum 6000 words in English; Counting for 40% of the final grade.

Course Schedule and Readings

Week 1 (March 29): Introduction to the course

Sellers, Jefferey M. 2011. "State-society Relations." In *The SAGE Handbook of Governance*, edited by Mark Bevir, 124-141. SAGE Publications.

Negoita, Marian. 2018. "The Always Embedded State: Six Types of State-Society Interaction." *International Review of Social Research*, 8 (2): 172-184.

Abers, Rebecca, Lizandra Serafim, and Luciana Tatagiba. 2014. "Repertórios de Interação Estado-Sociedade em um Estado Heterogêneo: a Experiência na Era Lula." *Dados*, 57 (2): 325-357.

Almeida, Debora Rezende de. 2014. "Representação Como Processo: a Relação Estado/sociedade na Teoria Política Contemporânea." *Revista de Sociologia e Política*, 22 (50): 175-199.

Lavalle, Adrián G, Arnab Acharya and Peter P. Houtzager. 2005. "Beyond Comparative Anecdotalism: Lessons on Civil Society and Participation from São Paulo, Brazil." *World Development*, 33 (6): 951-964.

Pogrebinschi, Thamy, and David Samuels. 2014. "The Impact of Participatory Democracy: Evidence from Brazil's National Public Policy Conferences." *Comparative Politics*, 46 (3): 313-332.

Pereira, Anthony W. 2016. "Is the Brazilian State 'Patrimonial'?" *Latin American Perspectives*, 43, (2): 135-152.

Zhang, Changdong. 2021. "State Capacity and State Power in Contemporary China." *China Review*, 21 (3): 1-20.

Saich, Tony. 2016. "State-society Relations in the People's Republic of China Post-1949." *Brill Research Perspectives in Governance and Public Policy in China*, 1 (1): 1-57.

Wright, Teresa. 2010. *Accepting Authoritarianism: State-society Relations in China's Reform Era*. Stanford University Press.

Week 2 (April 5): Policies on industry and infrastructure (1)

Boito Jr., Armando. 2012. As Bases Políticas do Neodesenvolvimentismo. Fórum Econômico da FGV-SP.

Suzigan, Wilson, and João Furtado. 2010. "Instituições e Políticas Industriais e Tecnológicas: Reflexões a Partir da Experiência Brasileira." *Estudos Econômicos (São Paulo)*, 40: 7-41.

De Toni, Jackson. 2013. *Novos Arranjos Institucionais na Política Industrial do Governo Lula: a Força das Novas Ideias e dos Empreendedores Políticos*. Dissertação de Doutorado, Universidade de Brasília.

Heilmann, Sebastian, and Lea Shih. 2013. "The Rise of Industrial Policy in China, 1978–2012." *Harvard-Yenching Institute Working Paper Series*, 17 (7): 1-24.

Week 3 (April 12): Policies on industry and infrastructure (2)

Gomide, Alexandre de Ávila, and Ana Karine Pereira. 2018. "State Capacities for Infrastructure Policies in Contemporary Brazil." *Revista de Administração Pública*, 52: 935-955.

Neto, Dimas de Castro E. Silva, Carlos O. Cruz, and Joaquim M. Sarmiento. 2017. "Understanding the Patterns of PPP Renegotiations for Infrastructure Projects in Latin America: The case of Brazil." *Competition and Regulation in Network Industries* 18 (3-4): 271-296.

Zhang, Shuibo, Ying Gao, Zhuo Feng, and Weizhuo Sun. 2015. "PPP Application in Infrastructure Development in China: Institutional Analysis and Implications." *International Journal of Project Management*, 33 (3): 497-509.

Schubert, Gunter, and Thomas Heberer. 2017. "Private Entrepreneurs as a 'Strategic Group' in the Chinese Polity." *China Review*, 17 (2): 95-122.

Week 4 (April 19): Policies on environment and energy (1)

Soares, Ítalo N., Rodrigo Gava, and José A. de Oliveira. 2021. "Political Strategies in Energy Transitions: Exploring Power Dynamics, Repertoires of Interest Groups and Wind Energy Pathways

in Brazil.” *Energy Research & Social Science*, 76.

Massi, Eliza, and Jewellord N. Singh. 2021. “The Energy Politics of Brazil”. In Kathleen J. Hancock, and Juliann E. Allison (eds), *The Oxford Handbook of Energy Politics*.

Kong, Yuan, Chao Feng, and Jun Yang. 2020. “How does China Manage its Energy Market? A Perspective of Policy Evolution.” *Energy Policy* 147.

Van Aken, Tucker, and Orion A. Lewis. 2015. “The Political Economy of Noncompliance in China: The Case of Industrial Energy Policy.” *Journal of Contemporary China*, 24 (95): 798-822.

Week 5 (April 26): Policies on environment and energy (2)

Lima, Gustavo F.C. 2011. “A Institucionalização das Políticas e da Gestão Ambiental no Brasil: Avanços, Obstáculos e Contradições.” *Desenvolvimento e Meio Ambiente*, 23: 121-132.

Hochstetler, Kathryn. 2011. “The Politics of Environmental Licensing: Energy Projects of the Past and Future in Brazil”. *Studies in Comparative International Development*, 46: 349-371.

Zhang, Joy Yueyue, and Michael Barr. 2013. *Green Politics in China: Environmental Governance and State-society Relations*. London: Pluto Press.

Lu, Jian, and H. Christoph Steinhardt. 2022. “Alliance Building Among Environmental Nongovernmental Organizations in China: the Emergence and Evolution of the Zero Waste Alliance.” *Modern China*, 48 (1): 105-133.

Jiang, Xiandeng, Jin Yang, Wei Yang, and Jian Zhang. 2021. “Do Employees’ Voices Matter? Unionization and Corporate Environmental Responsibility.” *International Review of Economics & Finance*, 76: 1265-1281.

Week 6 (May 3): Policies on health and social protection (1)

Matta, Gustavo C., and Júlio C. F. Lima. 2008. *Estado, Sociedade e Formação Profissional em Saúde: Contradições e Desafios em 20 anos de SUS*. Editora Fiocruz.

Machado, Cristiani V., Luciana D. de Lima, and Tatiana W. de Faria Baptista. 2017. “Políticas de Saúde no Brasil em Tempos Contraditórios: Caminhos e Tropeços na Construção de um Sistema Universal.” *Cadernos de Saúde Pública*, 33 (2): 144-161.

Li, Ling, and Hongqiao Fu. 2017. “China’s Health Care System Reform: Progress and Prospects.” *The International Journal of Health Planning and Management*, 32 (3): 240-253.

Eggleston, Karen. 2012. "Health Care for 1.3 billion: An Overview of China's Health System." Working paper, Stanford University Walter H. Shorenstein Asia-Pacific Research Center.

Week 7 (May 10): Policies on health and social protection (2)

Costa, Nilson do Rosario. 2009. "Social Protection in Brazil: Universalism and Targeting in the FHC and Lula Administrations." *Ciência & Saúde Coletiva*, 14: 693-706.

Silva, Sidney J. 2016. "Unionism, the Decision-Making Process and Social Security Reform in Brazil." *Brazilian Political Science Review*, 10 (2): 1-27.

Hu, Aiqun. 2016. "Social Insurance Ideas in the People's Republic of China: A Historical and Transnational Analysis." *Transnational Social Review*, 6 (3): 297-312.

Huang, Yeqing, and Shurong Han. 2022. "Explaining Social Insurance Participation: the Importance of the Social Construction of Target Groups in China." *Policy Studies*, 43 (3): 578-598.

Dong, Zhiqiang, Zijun Luo, and Xiahai Wei. 2016. "Social Insurance with Chinese Characteristics: The Role of Communist Party in Private Firms." *China Economic Review*, 37: 40-51.

Stepan, Matthias, and Quan Lu. 2016. "The Establishment of China's New Type Rural Social Insurance Pension: A Process Perspective." *Journal of Current Chinese Affairs*, 45 (2): 113-147.

Week 8 (May 17): Policies on poverty and inequality (1)

Barrientos, Armando. 2013. "The Rise of Social Assistance in Brazil." *Development and Change*, 44 (4): 887-910.

Zeng, Qingjie. 2020. "Managed Campaign and Bureaucratic Institutions in China: Evidence from the Targeted Poverty Alleviation Program." *Journal of Contemporary China*, 29 (123), 400-415.

Guo, Yuanzhi, Yang Zhou, and Yansui Liu. 2022. "Targeted Poverty Alleviation and its Practices in Rural China: A Case Study of Fuping County, Hebei Province." *Journal of Rural Studies*, 93 (): 430-440.

Week 9 (May 24): Policies on poverty and inequality (2)

Medeiros, Marcelo, and Pedro H. Souza. 2015. "State Transfers, Taxes and Income Inequality in Brazil." *Brazilian Political Science Review*, 9: 3-29.

Kanbur, Ravi, and Xiaobo Zhang. 2005. "Fifty Years of Regional Inequality in China: A Journey

Through Central Planning, Reform, and Openness.” *Review of Development Economics*, 9 (1): 87-106.

Li, Shi, and Terry Sicular. 2014. “The Distribution of Household Income in China: Inequality, Poverty and Policies.” *The China Quarterly* 217: 1-41.

Cheong, Tsun Se, and Yanrui Wu. 2014. “The Impacts of Structural Transformation and Industrial Upgrading on Regional Inequality in China.” *China Economic Review*, 31: 339-350.

Week 10 (May 31): Policies on education and innovation (1)

Shiroma, Eneida Oto. 2014. “Networks in Action: New Actors and Practices in Education Policy in Brazil.” *Journal of Education Policy*, 29 (3): 323-348.

Vickers Edward, and Zeng Xiaodong. 2017. *Education and Society in Post-Mao China*. London: Routledge.

Week 11 (June 7): Policies on education and innovation (2)

Leal, Carlos I. S., and Paulo N. Figueiredo. 2018. “Inovação e Tecnologia no Brasil: Desafios e Insumos para o Desenvolvimento de Políticas Públicas.” *Technological Learning and Industrial Innovation Working Paper Series*. Fundação Getulio Vargas.

Chen, Ling, and Barry Naughton. 2016. “An Institutionalized Policy-making Mechanism: China’s Return to Techno-industrial Policy.” *Research Policy*, 45 (10): 2138-2152.

Week 12 (June 14): Final presentations and conclusion of the course